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Steady-State Numerical Modeling of Size Effects in Micron Scale Wire Drawing

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Abstract

Wire drawing processes at the micron scale have received increased interest as micro wires are increasingly required in electrical components. It is well-established that size effects due to large strain gradient effects play an important role at this scale and the present study aims to quantify these effects for the wire drawing process. Focus will be on investigating the impact of size effects on the most favourable tool geometry (in terms of minimizing the drawing force) for various conditions between the wire/tool interface. The numerical analysis is based on a steady-state framework that enables convergence without dealing with the transient regime, but still fully accounts for the history dependence as well as the elastic unloading. Thus, it forms the basis for a comprehensive parameter study. During the deformation process in wire drawing, large plastic strain gradients evolve in the contact region. This creates a need for a higher order plasticity theory to accurately predict the material behaviour across the multiple scales involved. The present study reveals that the contribution from an energetic (recoverable) length parameter is limited, while the corresponding dissipative contribution dominates and tends to shift the drawing force to a higher level. As a direct consequence, the strain gradient hardening effect reduces the most favourable tool angle of a sharp tool with up to 50 % (in terms of the required drawing force), whereas a circular shaped tool is proven less sensitive to scaling effects. By considering the contact force profile between tool and material it becomes clear that the strain gradients have a smoothing effect and both the mag-

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nitide and position of the peak pressure are affected significantly. A round tool is found to reduce the peak force, while the location of the peak is found to move from outlet to inlet depending on the tool geometry.

Keywords: Steady-state, Size effects, Strain gradient plasticity, Metal forming, Wire drawing

1. Introduction

The wire drawing process is used in manufacturing at all scales, ranging from several centimetres to a few microns. The growing production of micro components has increased the demand for micro wires ($< 10\mu\text{m}$), which is an important component in e.g. semiconductors and electrical winding coils. At this scale, size effects are highly important in the production and therefore requires attention. It is well established that the size effect, appearing as either increased hardening or strengthening at the micron scale, originate from large strain gradients created by the inhomogeneous deformation during the wire drawing process.

Size effects can originate from two different sources, namely the effect of geometrically necessary dislocations (GNDs) which follows from the development of large plastic strain gradients and the effect of the microstructure when the grains become comparable in size to the wire diameter. The present study, however, investigates only the size effect related to the GNDs, while assuming homogeneity of the material, thus disregarding grain size effects. Storage of GNDs (Ashby, 1970; Gurtin, 2002; Ohno and Okumara, 2007) gives rise to free energy (energetic contribution) associated with the local stress field of the GNDs (dislocation pile-ups) and an increased dissipation (dissipative contribution) when the GNDs move through the lattice. The dissipative and energetic part are commonly referred to as length parameters in higher order plasticity theories. The length parameter ensures dimensional consistency in the model and essentially allows for a change in material behaviour across scales (see Mu et al. (2014) for a recent attempt to relate the length parameter to experimental findings at the micron scale). At the micron scale, GNDs can dominate the total dislocation density, which is normally dominated by statistically stored dislocations (SSDs) at larger scales. This leads to a requirement for additional energy to deform the material in the presence of large plastic strain gradients. This effect will create an apparent increase in yield stress as-well as additional hardening of the material.

To accommodate these issues, and develop a numerical model capable of handling this complex behaviour, the material model must represent the field quantities over the full range of length scales involved. In the present study, the higher order elastic-viscoplastic theory suggested by Fleck and Willis (2009) is employed. Here, the concept of higher order stresses, work-conjugate to the strain gradients, is adopted to increase the size range for which the material model is valid.

Neglecting grain and microstructure effects, the wire drawing process is a continuous process, making it ideal for a steady-state framework. Thus, the transient regime in the initial phase of the wire drawing process is not analysed in the present study. Avoiding the transient regime presents a number of advantages such as not having to deal with a continuously changing contact region (see e.g. Richelsen (1994) for a discussion related to a transient study of rolling). A numerical investigation of steady-state wire drawing at the micron scale can be found in Byon et al. (2009). Their work, however, is based on rigid plasticity where residual stresses and elastic unloading are neglected. At elevated temperatures (hot working), the effect of elastic unloading is minor, but at low temperatures (cold working), elastic unloading is essential. The steady-state model employed in the current study readily accounts for elastic unloading as-well as residual stresses, and it is based on the early work of Dean and Hutchinson (1980) for continuous crack growth (see also Wei and Hutchinson, 1997).

The developed model will be exploited to quantify the effect of strain gradients related to the most favourable tool geometry. During these studies both the effect of the dissipative and energetic length parameters are investigated (see e.g. Nielsen (2015) and Nielsen et al. (2016) for similar studies on steady-state rolling).

In the following sections, the basis for the model will be presented in tensor notation. The model is formulated in 2D, under the assumptions of axisymmetry, and thus the tensor notation should be interpreted as components 1,2,3 being the radial (r), axial (z), and angular (θ) direction, respectively. The notation $(\dot{})$ is used for the time derivative of a quantity. This paper is divided into the following sections: The parametrization of the wire drawing process is presented in Section 2, the material model and the numerical formulation are presented in Section 3, the boundary value problem is presented in Section 4, results are presented in Section 5 and lastly concluding remarks are given in Section 6.

2. Parametrization of the Wire Drawing Process

The diameter of the undeformed wire is $2R_m$ and the reduced diameter after passing the tool is $2r_m$ (see Fig. 1). The tool consists of two linear parts (tool flanks) and a circular part (tool nose) of radius t_r . The linear and circular part of the tool is connected in the transition point, t_p , which is the point where the flank is tangent to the circle. For an increasing tool nose radius, t_r , the transitions points will move up, and ultimately they may coincide with the surface of the wire making the tool circular. The tool, as-well as the entire model, is revolved around the z -axis according to the axisymmetric formulation. Due to the axisymmetry, displacement constraints are not required along the z -axis, however symmetry conditions are enforced on the plastic strains such that $\varepsilon_{12}^p = 0$ at $r = 0$. The tool is assumed to be rigid and the maximum reduction of the wire radius, Δ , is located at the centre of the tool ($z = 0$). The final radius of the wire, r_m , will then correspond to the initial radius, R_m , minus the reduction, plus an elastic spring back. The quantities, besides material parameters found in Tab. 1, which are prescribed in the model is the radial reduction ratio, Δ/R_m , the normalized tool nose radius, t_r/R_m , the tool angle, ϕ (also called the semi-cone angle), and the dimensionless inlet velocity, $\alpha = \dot{a}/(\dot{\varepsilon}_0 R_m)$, with $\dot{\varepsilon}_0$ being the material reference strain rate and \dot{a} being the actual inlet velocity ($\alpha = 50$ throughout the study). The influence of the velocity is depending on the magnitude of the rate hardening of the material (exponent, m , in Eq. 5) and its influence can be significant.

3. Numerical Framework

The numerical framework builds on the gradient enhanced elastic-viscoplastic theory proposed by Gudmundson (2004); Gurtin and Anand (2005); Fleck and Willis (2009). Here, the analysis is restricted to small strains as a first approximation by limiting the wire reduction to a maximum of 4% (the overall straining in the wire drawing process is proportional to the wire reduction for small reductions). The total strain, ε_{ij} , is determined from the displacements, such that $\varepsilon_{ij} = (u_{i,j} + u_{j,i})/2$ which can be decomposed into an elastic part, ε_{ij}^e , and a plastic part ε_{ij}^p ($\varepsilon_{ij} = \varepsilon_{ij}^e + \varepsilon_{ij}^p$). The displacement and plastic strain components obey the principle of virtual work (PVW) presented in Eq. (1) (given in Cartesian components), and they are determined from the *Minimum Principles I and II* presented by Fleck and Willis (2009) (see also

103 Section 3.1).

$$\int_V (\sigma_{ij} \delta \varepsilon_{ij} + (q_{ij} - s_{ij}) \delta \varepsilon_{ij}^p + \tau_{ijk} \delta \varepsilon_{ij,k}^p) dV = \int_S (T_i \delta u_i + M_{ij} \delta \varepsilon_{ij}^p) dS \quad (1)$$

104 Here, q_{ij} is the micro-stress, σ_{ij} is the Cauchy stress, s_{ij} is the deviatoric
 105 stress, and τ_{ijk} is the higher order stress. The right-hand side of the PVW in
 106 Eq. (1) is divided into the conventional tractions $T_i = \sigma_{ij} n_j$ and the higher
 107 order tractions, $M_{ij} = \tau_{ijk} n_k$, where n_k is the unit outward normal vector to
 108 the surface S which bounds the volume V .

109 When including strain gradients in an axisymmetric model, essential
 110 non-trivial strain gradient components arise besides the trivial strain gra-
 111 dient components. The non-trivial out-of-plane strain gradient components
 112 are expressed in a local Cartesian frame as: $\varepsilon_{13,3}^p = \varepsilon_{31,3}^p = (\varepsilon_{11}^p - \varepsilon_{33}^p)/r$,
 113 $\varepsilon_{23,3}^p = \varepsilon_{32,3}^p = \varepsilon_{12}^p/r$, where r is the radius at the point of evaluation (see e.g.
 114 Niordson, 2003).

115 According to the model proposed by Fleck and Willis (2009), the higher
 116 order stresses, τ_{ijk} , decompose into a dissipative part, τ_{ijk}^D , and an energetic
 117 part, τ_{ijk}^E , ($\tau_{ijk} = \tau_{ijk}^D + \tau_{ijk}^E$). The micro-stresses are assumed to have only a
 118 dissipative part i.e. $q_{ij} = q_{ij}^D$. The constitutive equations for the dissipative
 119 quantities read

$$q_{ij}^D = \frac{2}{3} \frac{\sigma_C [\dot{E}^p, E^p]}{\dot{E}^p} \dot{\varepsilon}_{ij}^p, \quad \text{and} \quad \tau_{ijk}^D = \frac{\sigma_C [\dot{E}^p, E^p]}{\dot{E}^p} L_D^2 \dot{\varepsilon}_{ij,k}^p \quad (2)$$

121 where σ_C is the gradient enhanced effective stress and \dot{E}^p is the gradient
 122 enhanced effective plastic strain rate. In the present study, these take a
 123 quadratic form and read

$$\sigma_C = \sqrt{\frac{3}{2} q_{ij}^D q_{ij}^D + L_D^{-2} \tau_{ijk}^D \tau_{ijk}^D}, \quad \text{and} \quad \dot{E}^p = \sqrt{\frac{2}{3} \dot{\varepsilon}_{ij}^p \dot{\varepsilon}_{ij}^p + L_D^2 \dot{\varepsilon}_{ij,k}^p \dot{\varepsilon}_{ij,k}^p} \quad (3)$$

125 where L_D is the dissipative length parameter introduced for dimensional
 126 consistency.

127 In conventional plasticity, plastic dissipation is assumed to be related to
 128 the plastic deformations in terms of heat energy and cold work, while no free
 129 energy is associated with the plastic strains. However, in the presence of large
 130 plastic gradients (Ashby, 1970), the GND densities can become significant
 131 compared to the SSD densities. The GNDs give rise to the additional free
 132 energy associated with their local stress field. Thus, the total free energy is
 133 given by:

134

$$\Psi = \frac{1}{2}(\varepsilon_{ij} - \varepsilon_{ij}^p) \mathcal{L}_{ijkl} (\varepsilon_{kl} - \varepsilon_{kl}^p) + \Psi_G \quad (4)$$

135 where Ψ_G accounts for the free energy associated with the GNDs. The
 136 conventional stresses are determined through the elastic relationship, $\sigma_{ij} =$
 137 $\partial\Psi/\partial\varepsilon_{ij}^e = \mathcal{L}_{ijkl}(\varepsilon_{kl} - \varepsilon_{kl}^p)$, where \mathcal{L}_{ijkl} is the isotropic elastic stiffness tensor
 138 and the higher order stresses are defined as $\tau_{ijk}^E = \partial\Psi/\partial\varepsilon_{ij,k}^p$. The free energy
 139 related to the GNDs is assumed to be quadratic with respect to the plas-
 140 tic strain gradients i.e. $\Psi_G = (GL_E^2 \varepsilon_{ij,k}^p \varepsilon_{ij,k}^p)/2$, and thus the higher order
 141 stresses are defined as $\tau_{ijk}^E = GL_E^2 \varepsilon_{ij,k}^p$, where G is the elastic shear modulus
 142 and L_E is the energetic length parameter.

143 The current model is based on the power-law relation for the viscoplastic
 144 behaviour presented in Eq. (5).

$$\dot{E}^p = \dot{\varepsilon}_0 \left(\frac{\sigma_C}{g(E^p)} \right)^{1/m}, \quad \text{with} \quad g(E_p) = \sigma_y \left(1 + \frac{E E^p}{\sigma_y} \right)^N \quad (5)$$

145 Here, N is the strain hardening exponent, m is the strain rate hardening
 146 exponent, $\dot{\varepsilon}_0$ is the reference strain rate, and g is the flow potential. According
 147 to the viscoplastic model, the material will display significant viscous effects
 148 for large strain rate hardening exponents. On the contrary, for $m \rightarrow 0$,
 149 the material approaches a rate-independent response which corresponds to
 150 conventional J₂-flow plasticity in the limit where the dissipative and energetic
 151 length parameter is zero ($L_D \rightarrow 0, L_E \rightarrow 0$) (Nielsen and Niordson, 2014). It
 152 should be mentioned that this type of flow theory assumes the material to be
 153 isotropic at the micron scale and the model therefore omits any anisotropic
 154 evolution in the microstructure.

155 It should also be noticed, however, that the numerical framework becomes
 156 unstable for $L_D \rightarrow 0$. Hence, a conventional J₂-model without strain gradient
 157 effects has been developed separately for comparison in the limit case. The
 158 material parameters considered in the present study are collected in Tab. 1.

159 3.1. Steady-State Approach

160 A steady-state framework is chosen for the numerical analysis as it fits
 161 well with the nature of the wire drawing process. For a constant feed of
 162 material, and thus continuous deformation, a stationary field develops in
 163 the vicinity of the tool whereby the stress/strain fields remain unchanged
 164 to an observer fixed relative to the tool. Exploiting this in the modelling

165 makes the steady-state approach superior to the traditional transient La-
 166 grangian models. Moreover, as the tool will remain stationary with respect
 167 to the computational finite element mesh one avoids numerical issues such
 168 as continuously changing contact interfaces. The steady-state finite element
 169 model employed in the present study is based on the early work by Dean
 170 and Hutchinson (1980), originally proposed for crack growth problems at
 171 a constant velocity. However, the technique can without modifications be
 172 adopted to a number of manufacturing processes such as e.g. wire drawing,
 173 rolling, welding etc. A key feature of the steady-state procedure by Dean
 174 and Hutchinson (1980) is that it implicitly accounts for elastic unloading for
 175 a wide range of constitutive models.

176 The steady-state condition states that any time derived quantity, \dot{f} , in
 177 a constitutive model can be related to the corresponding spatial derivative
 178 through the velocity, \dot{a} , along a streamline (illustrated in Fig. 1), according
 179 to the relation $\dot{f} = -\dot{a}\partial f/\partial z$. Thus, any incrementally defined quantity at
 180 a given material point can be evaluated by integrating along a streamline in
 181 the direction of the material movement, starting at a point upstream (z^0)
 182 of the tool and ending at the point of interest downstream (z^*). The point
 183 of interest (z^*) will then contain the history of all upstream points. The
 184 procedure for determining e.g. the plastic strains along the streamlines is
 185 shown in Eq. (6).

$$\varepsilon_{ij}^p(r^*, z^*) = \int_{z^0}^{z^*} \frac{\partial \varepsilon_{ij}^p}{\partial z} dz, \quad \text{with} \quad \frac{\partial \varepsilon_{ij}^p}{\partial z} = -\frac{1}{\dot{a}} \dot{\varepsilon}_{ij}^p \quad (6)$$

187 The streamlines are defined as paths through neighbouring Gauss points
 188 on the same radius in the negative z -direction, making a mesh with only
 189 rectangular elements within the streamline domain ideal (see Fig. 1). The
 190 streamline integration is based on a forward Euler integration scheme where
 191 the time enters the calculations through the velocity, \dot{a} , in the steady-state
 192 framework.

193 In the adopted material model, the displacement field and plastic strain
 194 gradient rate field are determined separately following Fleck and Willis (2009).
 195 The displacement field is determined based on the conventional formulation
 196 for quasi-static problems shown in Eq. (7), corresponding to *Minimum Prin-*
 197 *ciple II* in Fleck and Willis (2009).

$$\int_V \mathcal{L}_{ijkl} \varepsilon_{kl} \delta \varepsilon_{ij} dV = \int_S T_i \delta u_i dS + \int_V \mathcal{L}_{ijkl} \varepsilon_{kl}^p \delta \varepsilon_{ij} dV \quad (7)$$

199 The plastic strain gradient rate field is formulated as shown in Eq. (8)

200 corresponding to *Minimum Principle I* in Fleck and Willis (2009).

$$\int_V (q_{ij}^D \delta \dot{\varepsilon}_{ij}^p + \tau_{ijk}^D \delta \dot{\varepsilon}_{ij,k}^p) dV = \int_V (s_{ij} \delta \dot{\varepsilon}_{ij}^p - \tau_{ijk}^E \delta \dot{\varepsilon}_{ij,k}^p) dV + \int_S M_{ij} \delta \dot{\varepsilon}_{ij}^p dS \quad (8)$$

202 The stationary solution is determined from the two minimum principles
 203 in a staggered iterative approach. Thus, each iteration in the process of ob-
 204 taining a steady-state solution for the adopted gradient enhanced material
 205 model has two steps. The first step is to determine the displacements ac-
 206 cording to *Minimum principle II* (see Eq. (7)). From the displacements, the
 207 stress field is determined by taking the current straining history into account,
 208 and the obtained field is then feed into the second step. The second step is to
 209 determine the corresponding plastic strain rates, $\dot{\varepsilon}_{ij}^p$, according to *Minimum*
 210 *principle I* (see Eq. (8)). Employing a steady-state formulation, the plastic
 211 strain rates can be integrated along the streamlines in order to obtain the
 212 history dependent plastic strains, ε_{ij}^p . The conventional model corresponds
 213 to having both the length parameters equal to zero. In this case, *Minimum*
 214 *Principle I* is not required and a “conventional” viscoplastic relation can
 215 be employed to determine the plastic strains (see e.g. Nielsen and Niordson,
 216 2012).

217 The implementation of the minimum principles follows the procedure sug-
 218 gested by Nielsen and Niordson (2014). According to this procedure, the dis-
 219 placement field discretization is based on a quadratic 8-node isoparametric
 220 element, and the plastic strain rate field discretization is based on a linear
 221 4-node isoparametric element. Reduced Gauss integration is used for the
 222 displacement field (2x2 Gauss points), while full Gauss integration is used
 223 (2x2 Gauss points) for the plastic strain rate field. As an approximation,
 224 it is further assumed that the location of the Gauss points in the two ele-
 225 ment types are coinciding making the field quantities directly accessible for
 226 both parts of the solution scheme. The interaction between field quantities
 227 is based on the standard finite element interpolation shown in Eq. (9) for
 228 calculating quantities within the element at the points of evaluation.

$$u_i = \sum_{n=1}^8 N^{(n)} u_i^{(n)}, \quad \text{and} \quad \dot{\varepsilon}_{ij}^p = \sum_{n=1}^4 M^{(n)} \dot{\varepsilon}_{ij}^{p(n)} \quad (9)$$

230 For a more detailed overview of the algorithm see e.g. Nielsen et al. (2012).

231 4. Problem

232 Wire drawing under steady-state conditions is associated with large trans-
 233 lational displacements, but the strains remain small for moderate reductions
 234 of the diameter. As an example; observe a point (r, z) located upstream at
 235 time zero in the model set-up illustrated in Fig. 1, and the same point (R, Z)
 236 at a later stage where it has moved downstream. The displacements, u_i , of
 237 this material point are then defined by the following relation:

$$Z = z - \dot{a}t + u_1 \quad \text{and} \quad R = r + u_2 \quad (10)$$

238 As the displacements, u_i , are relative to a frame translating with the inlet
 239 velocity of the wire, the total strains can be defined as $\varepsilon_{ij} = (u_{i,j} + u_{j,i})/2$.

240 In the first iteration on the displacement field, the tool geometry is in-
 241 troduced in the model as prescribed displacements in the radial direction.
 242 This ensures that the nodes are allowed only to slide along the rigid tool
 243 surface. Step one and two in the solution scheme creates a stress/strain field
 244 which is feed into the streamline integration handling the constitutive rela-
 245 tions and history dependence which lead to an evolving plastic strain field. In
 246 the following iterations, the displacements and reactions forces are evaluated
 247 along the tool to determine whether a node should leave the tool (prescribed
 248 displacement condition removed) or if this particular node should have a
 249 prescribed displacement as it remains in contact with the tool.

250 In the contact region between the wire and the tool, friction forces are
 251 assumed to obey a Coulomb friction law. In the wire drawing process, the
 252 sliding direction will always result in a friction force in the positive z -direction
 253 (opposite direction of the material flow). The friction force is therefore purely
 254 kinematic, and can be determined as $F_f = \mu F_n$, where μ is the friction
 255 coefficient and F_n is the normal contact force. The friction force can thereby
 256 be determined from the reaction forces and be applied to the corresponding
 257 nodes. A force, corresponding to the sum of resisting forces acting on the
 258 nodes at the tool interface, is added to the left end of the wire. This sum
 259 of resisting forces corresponds to the required drawing force F_{draw} . In the
 260 current study, no restrictions on the higher order terms are applied in the
 261 contact region, i.e. no blocking of dislocations at the interface between the
 262 wire and tool has been introduced (the boundary is micro free and $\dot{\varepsilon}_{ij}^p \neq 0$).

263 5. Results

264 The gradient effects, related to the wire drawing process at the micron
 265 scale, are investigated in the following by exploiting the established numerical
 266 model. The results presented span over the effective plastic strains, the von
 267 Mises stresses, the effect of the friction coefficient, and the optimization of
 268 tool geometry.

269 In Figs. 2 and 3, the deformed shape, conventional effective plastic strain,
 270 $\varepsilon_e^p = [(2/3)\varepsilon_{ij}^p\varepsilon_{ij}^p]^{1/2}$, and von Mises stress, $\sigma_e = [(3/2)s_{ij}s_{ij}]^{1/2}$, are presented
 271 for two values of the dissipative length parameter. The plastic strains that
 272 develop during the wire drawing process are predicted to be rather inhomogeneous (see Figs. 2a and 3a). As the undeformed wire is drawn towards
 273 and through the tool, plastic straining rapidly evolves upon first contact. In
 274 fact, the plastic straining starts to evolve just in front of the tool as the material is forced toward the centre by the material further downstream closer
 275 to the tool. What is interesting to notice is that the drawn wire is leaving the
 276 tool with a rather inhomogeneous distribution of large plastic strains for a
 277 small dissipative length parameter (corresponding to a large scale of the wire
 278 drawing process), whereas a fairly homogeneous distribution is observed for
 279 a large dissipative length parameter (corresponding to a small scale of the
 280 wire drawing process). For wire drawing at large scales (Fig. 2), a concentration of large plastic strains is observed after the material has left the tool.
 281 The concentration is largest just below the surface and decreases towards the
 282 core of the wire. This is expected as the material from the surface region
 283 is flowing towards the core when the diameter is reduced. On the contrary,
 284 the magnitude of the plastic strains is a factor of 2 lower after the tool at
 285 the smallest scale (Fig. 3), while the plastic strains are of similar magnitude
 286 before the tool. This has to do with the increase in apparent yield stress
 287 typically found at the micron scale in regions with significant plastic strain
 288 gradients. Thus, plastic straining becomes less dominant which in turn gives
 289 rise to a larger elastic spring back. This is observed in Fig. 3 for a large
 290 dissipative length parameter, where it is seen that the material expands elastically after passing the tool center and continue to be in contact with the
 291 tool due to the increased spring back caused by the strengthening effect. The
 292 magnitude of the spring back corresponds to approximately 30% of the desired reduction in the smallest scale considered (Fig. 3). The results in Fig. 2
 293 for small length parameter confirms this difference as the reduction after the
 294 tool corresponds approximately to the lowest point on the tool (actually the

300 radius is slightly smaller due to the elastic stretching of the material when
 301 the drawing force is applied).

302 An important consequence of the significant spring back, at small scales, is
 303 the necessity to compensate for the effect when designing the tool in order to
 304 achieve the desired diameter of the wire. In the present study, compensation
 305 for spring back has not been included in the model i.e. the wires in the
 306 different studies have different diameters after passing the tool. Hence, the
 307 forces are, in fact, expected to be even larger at a smaller scale than presented
 308 in the following, as a larger reduction would be required to obtain the desired
 309 diameter.

310 A comparison of the von Mises stress fields in Figs. 2b and 3b (presented
 311 as the normalized quantity, σ_e/σ_y) shows that the strengthening caused by
 312 the GNDs in the presence of large gradients leads to an increase in the
 313 stresses. It is seen that for both length parameters, residual stresses ex-
 314 ist after passing the tool with the largest stresses near the surface. The
 315 stress state close to the surface can be of significance in relation to *stress*
 316 *corrosion cracking* depending on the material as not all materials are equally
 317 susceptible to this type of crack formation.

318 Figures 4 and 5 bring out the drawing force, F_{draw} , as it depends on the
 319 friction coefficient, μ , for two different tool geometries. In Fig. 4a, a sharp
 320 tool (small tool nose radius, t_r) is analysed for varying dissipative length
 321 parameter and reduction ratio. Observing the results for one of the curves
 322 in Fig. 4a, the required drawing force is increasing almost linearly with the
 323 friction coefficient. Moreover, when increasing the reduction ratio, the curves
 324 are simply shifting to a larger drawing force as the amount of deformation
 325 required is increasing (more energy needed). In addition to the shift, a slight
 326 increase in the slope is also observed. In a similar fashion, increasing the
 327 dissipative length parameter leads to an addition increase in the drawing
 328 force as the GNDs created by the gradients give rise to a strengthening effect
 329 as previously discussed (again, more energy is needed).

330 A similar analysis for the sharp tool, but with an energetic length pa-
 331 rameter added to the material ($L_E/R_m = 0.1$), is presented in Fig. 4b. The
 332 energetic contribution reveals, however, only a very limited effect on the re-
 333 sults. This has to do with the energetic contribution being linked to the free
 334 energy associated with the plastic strain gradients. At steady-state, a change
 335 in free energy is directly tied to the difference between the state of the ma-
 336 terial sufficiently far upstream and downstream from the tool. Thus, as the
 337 material upstream is assumed to have no prior history (zero gradients and

338 zero free energy stored), and combined with limited residual plastic strain
339 gradients existing downstream, the free energy associated with the deformation
340 process will be limited. This was also seen for the rolling process at
341 small scales by Nielsen (2015). The energetic contribution is most evident for
342 a small dissipative length parameter where it slightly increases the drawing
343 force. However, the dissipative length parameter rapidly becomes dominant
344 when increased.

345 Figure 5 presents a similar analysis but now for a tool with a much larger
346 tool nose radius, t_r (referred to as a round tool). Comparing the results for
347 the round tool (Fig. 5), with those for the sharp tool (Fig. 4), no significant
348 differences are observed. Hence, the drawing force mostly depends on the
349 material parameters and reduction ratio, and only little on the tool geometry.

350 To investigate the rather small effect of the tool geometry further, two
351 additional studies have been conducted where the comparison of a sharp
352 tool and a round tool is the main focus. The first part presented in Fig.
353 6 concerns optimization of the tool angle in order to minimize the drawing
354 force for a sharp tool (small tool nose radius). In Fig. 6a ($L_E = 0$), the
355 strengthening effect owing to strain gradients shifts the drawing force upward
356 as the dissipative length parameter is increased (wire drawing at ever smaller
357 scales). Moreover, it is evident that the most favourable tool angle, with
358 respect to minimum drawing force, also changes. As indicated by enlarged
359 circles in Fig. 6, an increase in the dissipative length parameter, decreases
360 the most favourable tool angle (in agreement with the findings in Byon et al.
361 (2009)). The impact on the most favourable tool angle is most significant
362 for small dissipative length parameters, whereas the most favourable tool
363 angle seems to stagnate for the larger dissipative length parameters. In fact,
364 for sufficiently large dissipative length parameter ($L_D/R_m = 0.5$, see Fig. 6),
365 the most favourable tool angle seems to start decreasing again (however, bear
366 in mind the shallow nature of the minimum). Results for the conventional
367 model, that ignores gradient effects, are also presented to illustrate that the
368 gradient enhanced model converge towards the conventional limit for $L_E \rightarrow 0$
369 and $L_D \rightarrow 0$. The results are presented as the lower curve in Fig. 6a.

370 Conducting a similar investigation but now accounting for an energetic
371 contribution (Fig. 6b), shows only a limited effect on the level of the drawing
372 force when compared to the previous studies (compare Figs. 6a and
373 6b). However, the most favourable tool angle is somewhat more affected by
374 including the energetic contribution, and as before the largest effect is observed
375 for low dissipative length parameter. Moreover, the length scale effect

376 is again seen to stagnate for large dissipative length parameters.

377 The existence and decline of the most favourable tool angle for increasing
378 length parameters in case of a sharp tool (demonstrated in Fig. 6) boils down
379 to the competition between the evolution of plastic strain gradients and the
380 friction in the contact region. For a low tool angle, the contact region is
381 relatively long when compared to the region for larger angles. Thus, if the
382 maximum reduction, Δ , remains the same this implies that the progressive
383 change in the wire radius, as the material passes the tool, is lowest for a low
384 tool angle. As the progressive change is lower, the plastic strain field will
385 become more smooth i.e. smaller plastic strain gradients are expected. When
386 the magnitude of the strain gradients decreases, the strengthening effect is
387 also reduced, lowering the drawing force. Considering this as an isolated
388 effect, the drawing force would continue to decrease as the angle decreases,
389 however, at some point the drawing force starts to increase again. This is
390 due to the presence of friction. For decreasing tool angles the length of
391 the contact region increases, thus friction gradually becomes more dominant
392 and leads to an increase in the drawing force. To confirm this competition
393 of mechanisms, the analysis from Fig. 6a is repeated with a larger friction
394 coefficient. As seen from Fig. 7, this shifts all the most favourable tool angles
395 upward as friction becomes dominant at an earlier point.

396 A similar analysis is presented in Fig. 8 for a round tool ($t_r/R_m =$
397 4.5). Figure 8a indicates that the most favourable geometry remains at one
398 specific tool angle regardless of the dissipative length parameter (with minor
399 fluctuations). At this particular angle, the tool is not completely circular,
400 but the flanks are very short so that the transition point is very close to the
401 initial contact point. In fact, the material is only in contact with the circular
402 part, as the material is forced down when approaching the tool before it
403 interferes with the flanks. This is also seen from Fig. 8a as the drawing force
404 becomes constant when increasing the tool angle because the material is only
405 in contact with the circular part. The material is only in contact with the
406 circular part for tool angles above 1.8° , making all solution to the right of
407 this point identical. This also explains the fluctuations, as all the solutions
408 on a horizontal line are identical with only the numerical convergence criteria
409 to create a minor difference. From Fig. 8a, a circular tool seems to be the
410 better shape in terms of the minimum drawing force across the scales for
411 a zero energetic length parameter. However, with an energetic contribution
412 (see Fig. 8b) the tool angle is observed to increase with the dissipative length
413 parameter (largest impact for low dissipative length parameter). The tool

414 geometry, however, converges towards a shape where the material is only in
 415 contact with the circular part.

416 By changing the tool geometry, changes in the contact force profiles have
 417 been observed. Figure 9 displays four different geometries (top plot in each
 418 subplot) ranging from a sharp tool ($t_r/R_m = 0.25$) to a completely circu-
 419 lar tool ($t_r/R_m = 7$). The corresponding contact force profile is presented
 420 below for an easy comparison, with $F_n^{(e)}$ being the normal force acting on
 421 an element in the contact zone and $A^{(e)}$ being the corresponding area of the
 422 element. Here, results are shown for different dissipative length parameters
 423 and zero energetic length parameter ($L_E = 0$). The transition from a sharp
 424 tool to a circular tool shows that the peak is moving from the outlet towards
 425 the inlet of the tool (most clearly seen for a small dissipative length param-
 426 eter). The contact profile for the circular tool is similar to those reported by
 427 Richelsen (1991) and Nielsen (2015), where sheet rolling using a cylindrical
 428 roll is investigated. In addition, it is observed that the peak forces become
 429 smoother as the tool is rounded (t_r/R_m increases). For an increasing dissi-
 430 pative length parameter the peak contact forces increase, but the tendency
 431 remains the same. It is observed, however, that the peak becomes very wide
 432 and the shift from the inlet to outlet is less visible. This is a result of the
 433 increased strengthening associated with the strain gradients which tends to
 434 smear out the plastic strain field resulting in a wider peak.

435 What is interesting is the fact that the drawing force (area under the
 436 curves for a fixed length parameter) has approximately the same magnitude
 437 for the different geometries (also found in Figs. 4 and 5). In other words,
 438 as previously found, the energy associated with the wire drawing process is
 439 only affected slightly by the tool nose radius, t_r - with a slight reduction
 440 in drawing force as the tool is rounded (t_r increased). Thus, by choosing a
 441 particular tool shape, the peak pressure can be minimized without increasing
 442 the energy associated with the wire drawing process.

443 6. Concluding Remarks

444 The steady-state procedure by Dean and Hutchinson (1980) offers a straight-
 445 forward approach to include history dependent elastic-plastic material be-
 446 haviour into modelling the wire drawing process. Combined with the gradi-
 447 ent enhanced elastic-viscoplastic material model by Fleck and Willis (2009),
 448 a basis for a comprehensive parameter study of size effects in wire drawing

449 at the micron scale has been established for small wire reductions. The key
450 findings in the study are:

- 451 • Wire drawing at a small scale requires increased drawing force to ac-
452 commodate the additional resistance due to the strengthening effect
453 created by the geometrically necessary dislocations (GNDs). The dis-
454 sipative length parameter is dominant in terms of forces involved in
455 the drawing process, whereas the energetic length parameter only has
456 a minor effect.
- 457 • As the friction coefficient is increased the required drawing force in-
458 creases. Increasing the wire reduction ratio or the dissipative length
459 parameter shifts the drawing force to a higher level. Comparing studies
460 for a sharp and round tool (constant tool angle) showed no significant
461 change in drawing force, indicating limited sensitivity to the tool nose
462 radius, t_r .
- 463 • The most favourable tool angle is reduced significantly by gradient ef-
464 fects for a sharp tool (up to 50% depending on the parameters). The
465 most rapid change in the most favourable tool angle is observed for
466 small dissipative length parameters. As the dissipative length parame-
467 ter increases, the most favourable tool angle tends to reach a constant
468 value. The energetic length parameter primarily affects the studies with
469 a small dissipative length parameter, reducing the most favourable an-
470 gle further. By rounding the tool it was found that a circular shape
471 (no flanks) is the most favourable across length scales (less sensitive to
472 scaling effects).
- 473 • The contact force profile is affected significantly by the tool geometry.
474 For a sharp tool, the peak pressure is located at the outlet, whereas for a
475 circular tool the peak pressure shifts toward the inlet. For an increasing
476 dissipative length parameter the contact forces increase. The gradient
477 effect tends to have a smoothing effect on the contact force profile,
478 by smearing out the peaks. For a fixed dissipative length parameter,
479 the required drawing force is only affected little when changing the
480 geometry. Choosing a smooth tool geometry can thereby reduce peak
481 contact forces without increasing the drawing force.

482 During the entire study the reduction ratio, Δ/R_m , corresponds to the
483 reduction at the centre of the tool. However, due to the spring back of the

484 material, the actual reduction of the wire will be smaller - in particular at
485 the small scales where the strain gradient strengthening effect contribute to
486 the elastic spring back.

487 **7. Acknowledgement**

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490 Techniques”, grant DFF-4184-00122.

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538 List of Figures

539	1	Parametrization of the wire drawing process in the adopted	
540		steady-state framework with axisymmetry around the z -axis.	
541		Throughout the study, $R_m/(2L) = 1/10$ and the finite element	
542		mesh employed consists of square elements with side length	
543		$L^{(e)}/R_m = 20$	21
544	2	Effect of length parameter on field quantities (countour) and	
545		deformation (shape magnified by a factor of 10), where a)	
546		displays the effective plastic strain, ε_e^p , whereas b) shows the	
547		normalized von Mises stress, σ_e/σ_y . The solid black line indi-	
548		cates the tool center and the dash-dot line indicate the axis	
549		of symmetry. The dissipative length parameter and energetic	
550		length parameter remain fixed at $L_D/R_m = 0.1$ and $L_E = 0$,	
551		respectively ($\Delta/R_m = 0.01$, $\alpha = 50$, $t_r/R_m = 0.25$, $\mu = 0.1$,	
552		and $\phi = 1.5^\circ$).	22
553	3	Effect of length parameter on field quantities (countour) and	
554		deformation (shape magnified by a factor of 10), where a) dis-	
555		plays the effective plastic strain, ε_e^p , whereas b) shows away	
556		the normalized von Mises stress, σ_e/σ_y . The solid black line	
557		indicates the tool center and the dash-dot line indicate the	
558		axis of symmetry. For both models the dissipative length	
559		parameter and energetic length parameter remain fixed at	
560		$L_D/R_m = 0.5$ and $L_E = 0$, respectively ($\Delta/R_m = 0.01$,	
561		$\alpha = 50$, $t_r/R_m = 0.25$, $\mu = 0.1$, and $\phi = 1.5^\circ$).	23
562	4	Required drawing force for a sharp tool ($t_r/R_m = 0.25$) as a	
563		function of the friction coefficient for various dissipative length	
564		parameters and wire reduction ratios. The velocity is fixed at	
565		$\alpha = 50$ and the tool angle is fixed at $\phi = 2^\circ$. Here, shown	
566		for an energetic length parameter of a) $L_E/R_m = 0$, and b)	
567		$L_E/R_m = 0.1$	24
568	5	Required drawing force for a round tool ($t_r/R_m = 4.5$) as a	
569		function of the friction coefficient for various dissipative length	
570		parameters and reduction ratios. The velocity is fixed at $\alpha =$	
571		50 and the tool angle is fixed at $\phi = 2^\circ$. Here, shown for an	
572		energetic length parameter of a) $L_E/R_m = 0$, and b) $L_E/R_m =$	
573		0.1	25

574	6	Required drawing force for a sharp tool ($t_r/R_m = 0.25$) as	
575		function of tool angle for different dissipative length parame-	
576		ters. The velocity is fixed at $\alpha = 50$, the reduction ratio at	
577		$\Delta/R_m = 0.01$, and the friction coefficient at $\mu = 0.1$. Here,	
578		shown for an energetic length parameter of a) $L_E/R_m = 0$,	
579		and b) $L_E/R_m = 0.1$	26
580	7	Required drawing force for a sharp tool ($t_r/R_m = 0.25$) as	
581		a function of the tool angle for different dissipative length	
582		parameters ($L_E/R_m = 0$). The velocity is fixed at $\alpha = 50$, the	
583		reduction ratio at $\Delta/R_m = 0.01$, and the friction coefficient	
584		at $\mu = 0.15$	27
585	8	Required drawing force for a round tool ($t_r/R_m = 4.5$) as	
586		a function of the tool angle for different dissipative length	
587		parameters. The velocity is fixed at $\alpha = 50$, the reduction	
588		ratio at $\Delta/R_m = 0.01$, and the friction coefficient at $\mu = 0.1$.	
589		Here, results are shown for an energetic length parameter of	
590		a) $L_E/R_m = 0$, and b) $L_E/R_m = 0.1$	28
591	9	Contact forces (normal traction) at the interface between tool	
592		and wire for different dissipative length parameters ($L_E = 0$)	
593		and tool geometries. Subfigures (a),(b),(c),(d) each represents	
594		the tool geometry and corresponding contact force for different	
595		length parameters. The geometry $t_r/R_m = 0.25$ correspond	
596		to a sharp tool and $t_r/R_m = 7$ correspond to a completely	
597		circular tool. The velocity is fixed at $\alpha = 50$, the reduction	
598		ratio at $\Delta/R_m = 0.01$ and the friction coefficient at $\mu = 0.1$. .	29

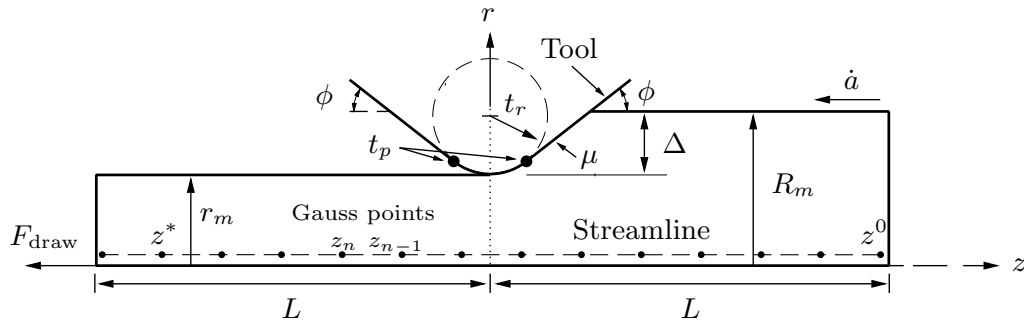


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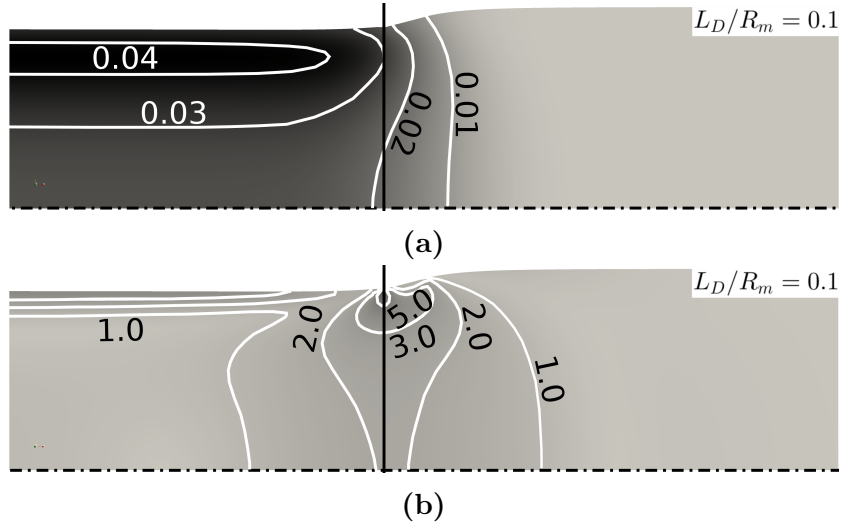


Figure 2: Effect of length parameter on field quantities (countour) and deformation (shape magnified by a factor of 10), where a) displays the effective plastic strain, ε_e^p , whereas b) shows the normalized von Mises stress, σ_e/σ_y . The solid black line indicates the tool center and the dash-dot line indicate the axis of symmetry. The dissipative length parameter and energetic length parameter remain fixed at $L_D/R_m = 0.1$ and $L_E = 0$, respectively ($\Delta/R_m = 0.01$, $\alpha = 50$, $t_r/R_m = 0.25$, $\mu = 0.1$, and $\phi = 1.5^\circ$).

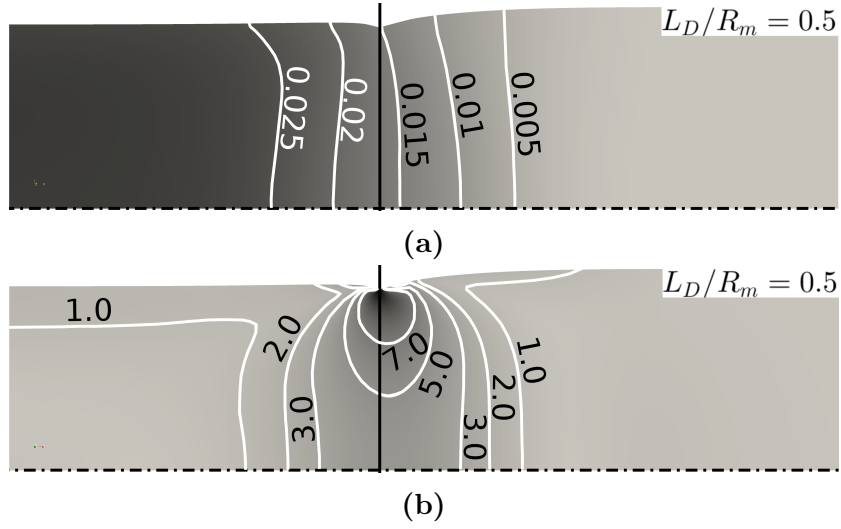
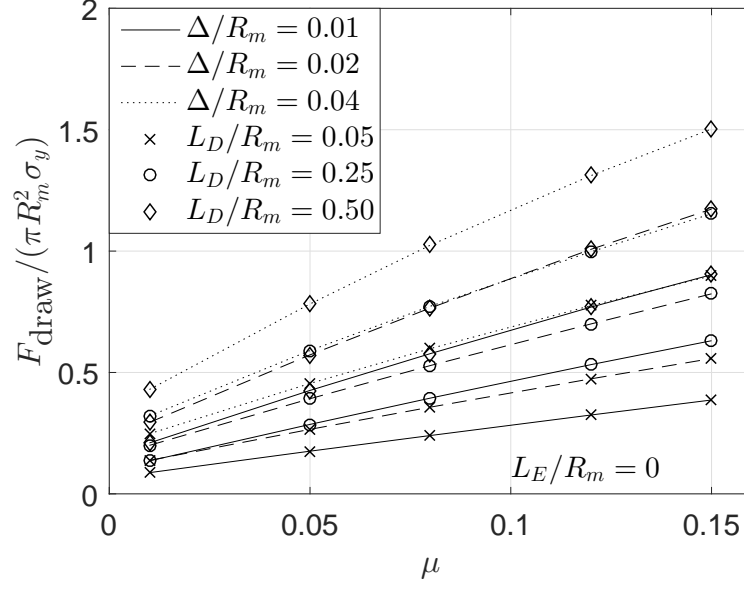
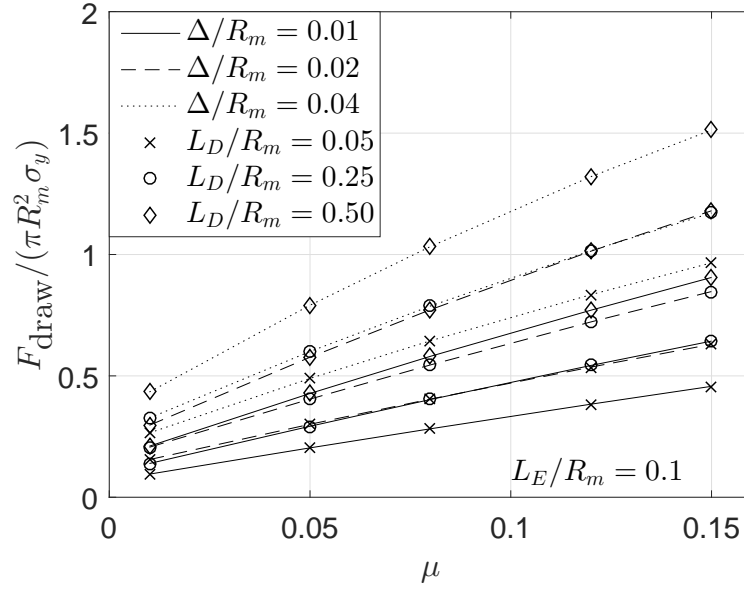


Figure 3: Effect of length parameter on field quantities (countour) and deformation (shape magnified by a factor of 10), where a) displays the effective plastic strain, ε_e^p , whereas b) shows away the normalized von Mises stress, σ_e/σ_y . The solid black line indicates the tool center and the dash-dot line indicate the axis of symmetry. For both models the dissipative length parameter and energetic length parameter remain fixed at $L_D/R_m = 0.5$ and $L_E = 0$, respectively ($\Delta/R_m = 0.01$, $\alpha = 50$, $t_r/R_m = 0.25$, $\mu = 0.1$, and $\phi = 1.5^\circ$).

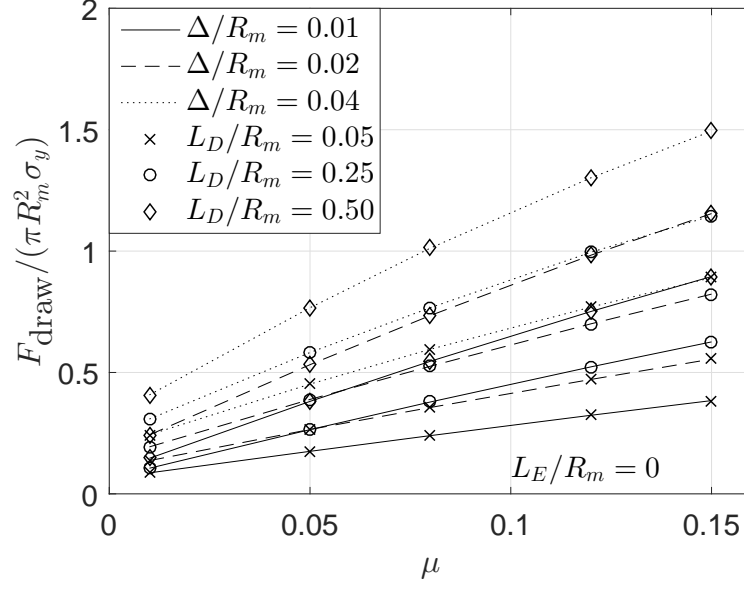


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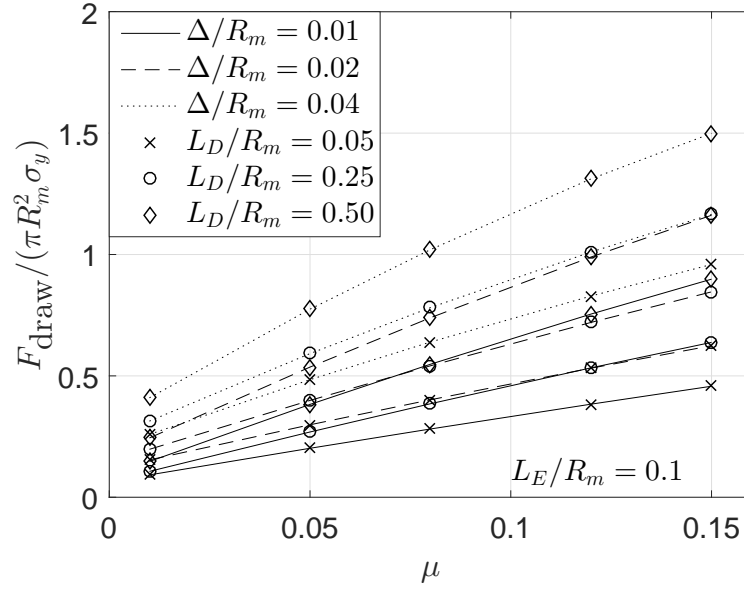


(b)

Figure 4: Required drawing force for a sharp tool ($t_r/R_m = 0.25$) as a function of the friction coefficient for various dissipative length parameters and wire reduction ratios. The velocity is fixed at $\alpha = 50$ and the tool angle is fixed at $\phi = 2^\circ$. Here, shown for an energetic length parameter of a) $L_E/R_m = 0$, and b) $L_E/R_m = 0.1$.

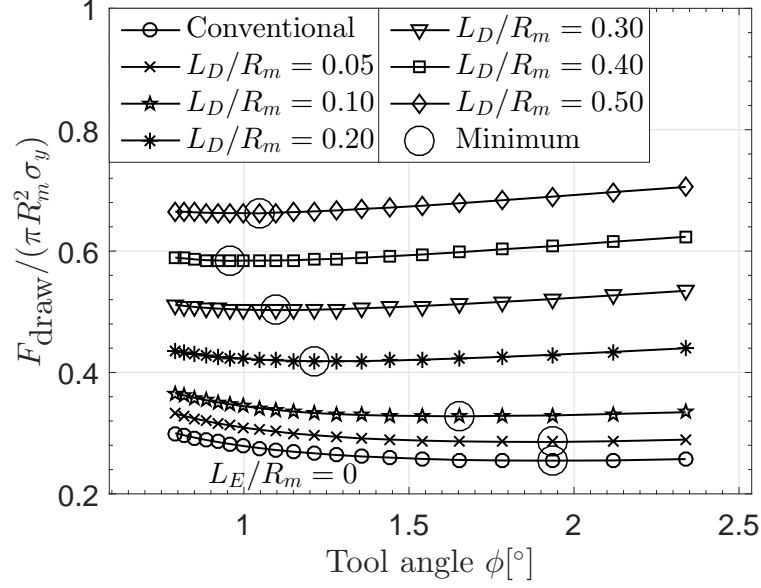


(a)

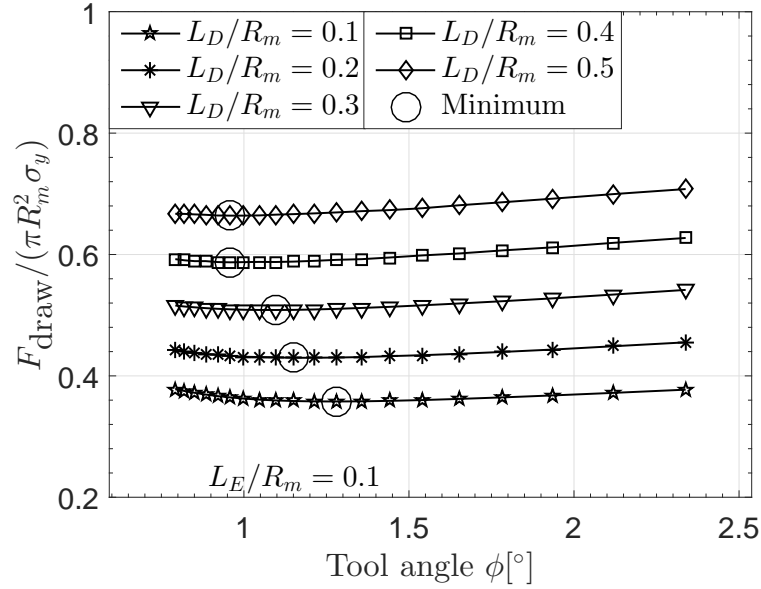


(b)

Figure 5: Required drawing force for a round tool ($t_r/R_m = 4.5$) as a function of the friction coefficient for various dissipative length parameters and reduction ratios. The velocity is fixed at $\alpha = 50$ and the tool angle is fixed at $\phi = 2^\circ$. Here, shown for an energetic length parameter of a) $L_E/R_m = 0$, and b) $L_E/R_m = 0.1$.



(a)



(b)

Figure 6: Required drawing force for a sharp tool ($t_r/R_m = 0.25$) as function of tool angle for different dissipative length parameters. The velocity is fixed at $\alpha = 50$, the reduction ratio at $\Delta/R_m = 0.01$, and the friction coefficient at $\mu = 0.1$. Here, shown for an energetic length parameter of a) $L_E/R_m = 0$, and b) $L_E/R_m = 0.1$.

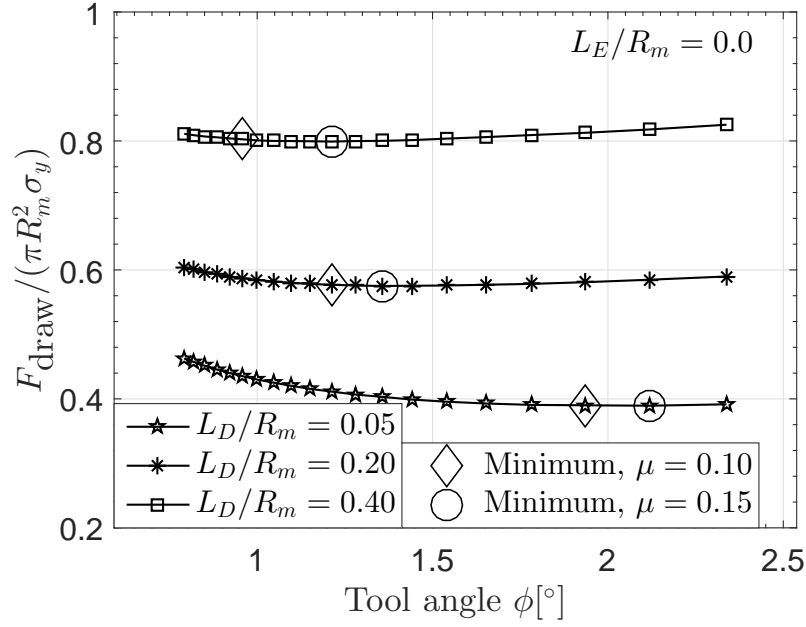
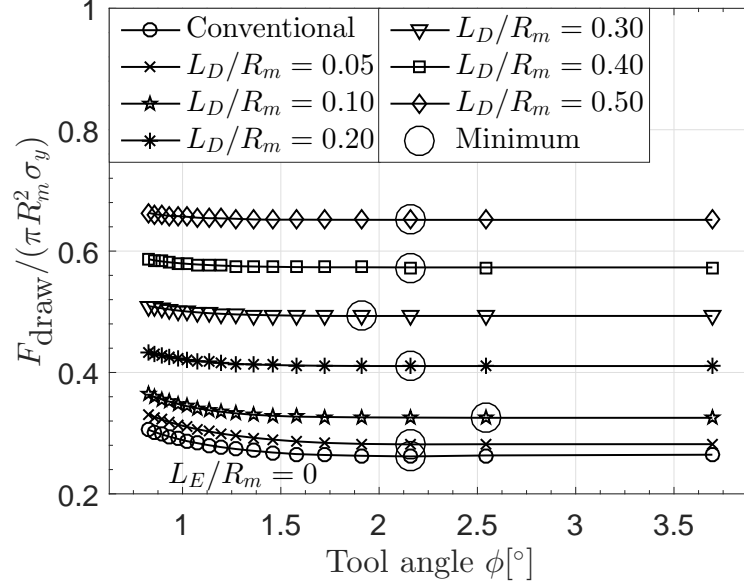
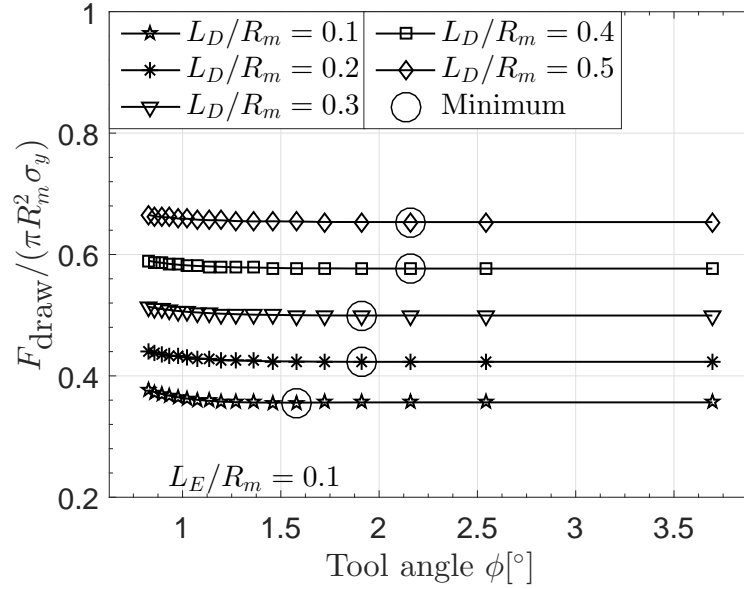


Figure 7: Required drawing force for a sharp tool ($t_r/R_m = 0.25$) as a function of the tool angle for different dissipative length parameters ($L_E/R_m = 0$). The velocity is fixed at $\alpha = 50$, the reduction ratio at $\Delta/R_m = 0.01$, and the friction coefficient at $\mu = 0.15$.



(a)



(b)

Figure 8: Required drawing force for a round tool ($t_r/R_m = 4.5$) as a function of the tool angle for different dissipative length parameters. The velocity is fixed at $\alpha = 50$, the reduction ratio at $\Delta/R_m = 0.01$, and the friction coefficient at $\mu = 0.1$. Here, results are shown for an energetic length parameter of a) $L_E/R_m = 0$, and b) $L_E/R_m = 0.1$.

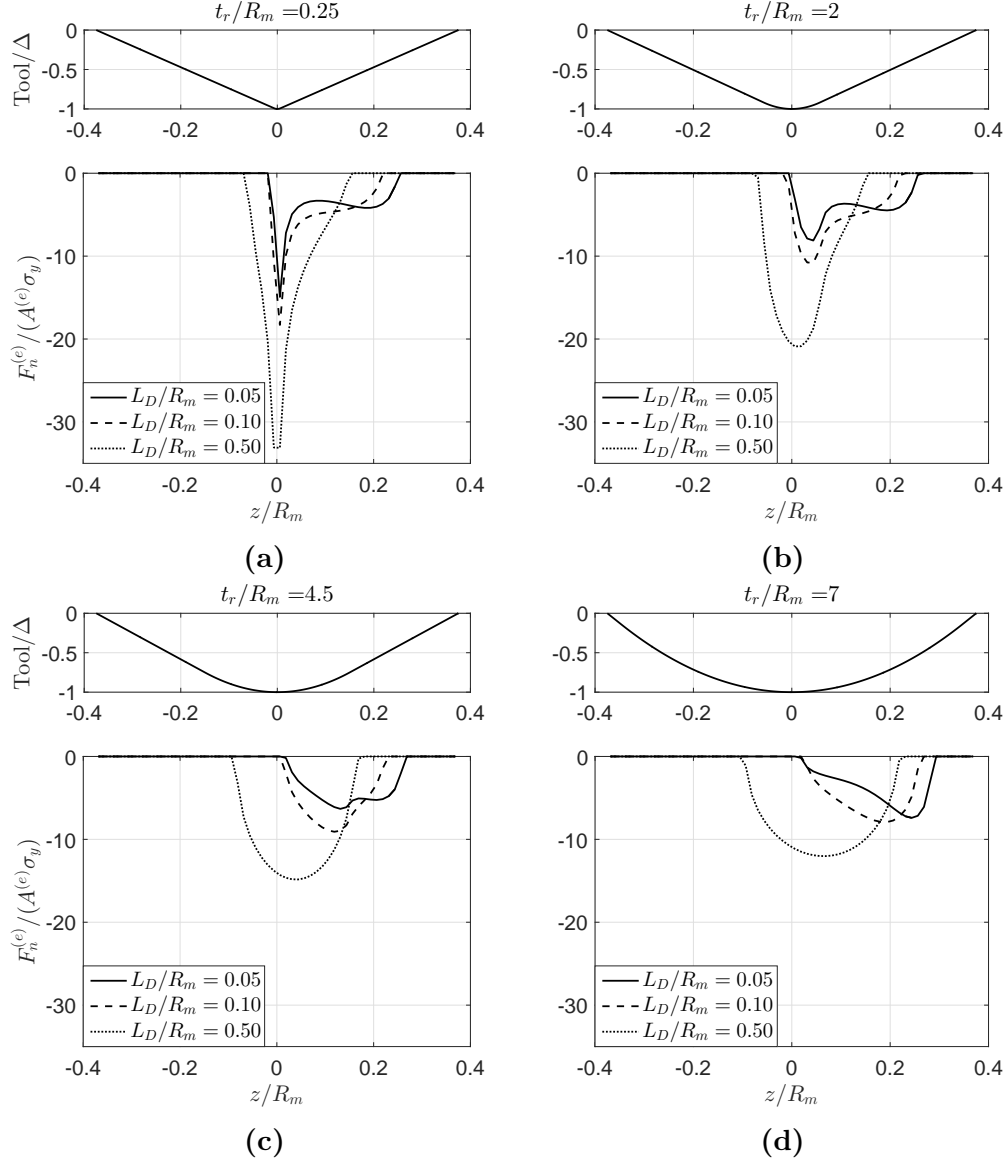


Figure 9: Contact forces (normal traction) at the interface between tool and wire for different dissipative length parameters ($L_E = 0$) and tool geometries. Subfigures (a),(b),(c),(d) each represents the tool geometry and corresponding contact force for different length parameters. The geometry $t_r/R_m = 0.25$ correspond to a sharp tool and $t_r/R_m = 7$ correspond to a completely circular tool. The velocity is fixed at $\alpha = 50$, the reduction ratio at $\Delta/R_m = 0.01$ and the friction coefficient at $\mu = 0.1$.

599 **List of Tables**

600 1 Mechanical properties. 31

Parameter	Significance	Value
σ_y/E	Yield strain	0.001
ν	Poisson's ratio	0.3
N	Strain hardening exponent	0.2
m	Strain rate hardening exponent	0.02
$\dot{\epsilon}_0$	Reference strain rate	0.001
L_D	Dissipative length parameter	$0.05-0.5R_m$
L_E	Energetic length parameter	$0-0.1R_m$

Table 1: Mechanical properties.